

A NOTE ON GÖDEL'S THEOREM

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ABSTRACT

This short and informal article shows that, although Gödel's theorem is valid using classical logic, there exists some four-valued logical system that is able to prove that arithmetic is both sound and complete. Such a system must have at least four values: false, true, unknown and inconsistent, although the values may have other terms. In order to make the claim very clear, this article presents a four-valued logic and also describes a four-valued Prolog in some informal, brief and intuitive manner.

KEYWORDS

Gödel, incompleteness theorem, four-valued logic, Hilbert's program

1. INTRODUCTION

In 1931, Kurt Gödel, after his revolutionary theorem, placed a full stop on Hilbert's dream of formalizing mathematics. Gödel demonstrated that it would not work even for arithmetic[1].

On the other hand, in the nineties, the present author started inserting a third value called "unknown" in Plain[2], i.e. a programming language that he was designing at that time. The *unknown* constant was theoretically referred to as *uu* since the end of nineties. Later, a five-valued logic was introduced with the values in $\{tt, ff, uu, ii, kk\}$. In 2004, the same logic was published as a journal article[3] and a seven-valued logic was also published in a Conference in San Diego[4], adding the values $\{fi, it\}$ ("false or inconsistent", "inconsistent or true", respectively) for being able to be used together with the same uncertainty model that had been proposed during the present author's Master course in 1990. The seven-valued logic that makes use of that uncertainty model permits that, during the computation, as the system obtains novel pieces of information, variables change their values. An example of this is the paternity test: before the discovery of the DNA test, it was possible to conclude whether a child was a daughter or son of a particular man by hereditary physical characteristics. However, there was always uncertainty up to some extent. The uncertainty factor could be represented by *uu* (unknown), at least as an initial state of some variable. Since the DNA test was discovered, all variables which represent the hypothesis of being the child's father should change their states from *uu* to either *kk* or *tt* or *ff*.

As part of the present author's previous contribution, the *kk* value means "knowable", and it is usable when something is not already known, but it is already known that it is consistent. It can either be *true* or *false* but not both. It can be known by God or someone else or some machine, for instance, but it is not already known by the machine which is deductively reasoning, or by the person who is deductively doing, and it may be unknown forever, but at least its consistency is guaranteed. This is the meaning of the *kk* value, which fits in the referred uncertainty model when a variable thresholds collapse: $False = True$, which means that there is nothing strictly between the *False* and the *True* thresholds. In the above example of the paternity test, *uu* used to represent the initial state before the discovery of the DNA test, whereas *kk* represents the initial state given the existence of the DNA test, but before knowing the result of a particular DNA test, either *ff* or *tt*.

Individually and previously, Kleene, Łukasiewicz and Priest proposed their three-valued logics. In 1977, Nuel Belnap (1930-) had proposed his logic on four values[5]. What was observed several years ago is that Gödel's proof may not work together with some logics that have more than three values. The four necessary values mean "true", "false", "unknown" and "inconsistent", or similar meanings. That is, at least these four values and meanings. The latter two values correspond to *N* (none) and *B* (both) in the four-valued Belnap's logic, respectively, and correspond to *uu* and *ii*, respectively, in both referred logics of the present author, as well as in his four-valued logic presented in this article, and the four-valued Prolog also described here.

The problem Gödel introduced was due to existing self-references and paradoxes, which made propositions of arithmetic result in both *true* and *false*, together with the observation that any proof over mathematics was also a mathematical object itself. However, Boolean logics are clearly unable to permit that formal systems capture the problem pointed out by Gödel in his theorem. That is, no binary formal system can capture it.

One condition for a four-valued formal system being able to prove all true propositions, and only the true propositions, is certainly that it has the same results of the classical logic, except where one or two operands have values other than *true* and *false*. In any proof, a result here is the true value only, and do not include values such as "unknown". Belnap's four-valued logic does not fail under this condition, for, although $(B \vee N = T)$, where *T* represents the true value, the values of the operands are "*B*" and "*N*", which are not Boolean.

In the present author's PhD thesis, there was a kind of typo in the truth-table for the specific case $ff \leftrightarrow ff$, which results in *tt* in the five-valued logic but *ff* was written instead: a kind of mistake in only one of the two truth-tables for the equivalence operation. However, taking this into account, and by using only one of those equivalence operations, that five-valued logic suffices regarding that condition. Moreover, in 2007, having written a program which seems to be correct, it was checked whether such a four-valued logic exists, and its computation resulted in several logics, where one of them was Belnap's logic. The 12th solution written by the program computation was the logic which was the most interesting. In 2011, one could not claim the authorship of that four-valued logic, but the referred truth-tables are the following:

Table 1. The present author's four-valued logic true table

A	$\neg A$	\wedge	U	F	T	I	\vee	U	F	T	I	\rightarrow	U	F	T	I	\leftrightarrow	U	F	T	I
U	I	U	U	F	U	U	U	U	T	I	U	T	T	T	T	U	T	F	F	F	F
F	T	F	F	F	F	F	F	U	F	T	I	F	T	T	T	F	F	T	F	F	F
T	F	T	U	F	T	I	T	T	T	T	T	T	U	F	T	T	T	F	F	T	F
I	U	I	U	F	I	I	I	I	I	T	I	I	F	U	T	T	I	F	F	F	T

Section 2 dedicates to Gödel's theorem and his proof, and a system for capturing all possible results is informally described. In section 3, a four-valued Prolog programming language is briefly described, whereas section 4 contains the conclusions.

2. ON GÖDEL'S PROOF

The set of all propositions on arithmetical true is written for the two Boolean values, but that set could be complete but cannot be sound, i.e. it is clearly inconsistent. However, the present author writes an external layer with an external view of that set, as well as an internal layer. The former layer is written with four or more values. It interprets that set and, thus, both layers together form a formal system where the two-valued system is the server while the four-valued

system is the client. The external layer makes use of the internal one. The system with at least four values is pretty simple and works in the following manner:

Whenever one attempts to prove that a proposition is true and the two-valued system results in *true*, the external layer still tries to prove that the proposition is *false*: If the two-valued system results in *true*, the external layer results in *ii*, the inconsistent value. However, on the other hand, if the two-valued system results in *false* instead, the external layer results in *true*.

Whenever one attempts to prove that a proposition is *true* and the two-valued system results in *false*, the external layer still tries to prove that the proposition is *false*: If the two-valued system results in *false*, the external layer results in *uu*, the unknown value. However, on the other hand, if the two-valued system results in *true* instead, the external layer results in *false*.

In other words, the meaning of a two-valued internal query is only the attempt to prove, which either succeeds or not. In this way, the whole formal system is clearly sound and complete. In 1997, the present author wrote a three-valued Prolog which he called Kleene at that time and Globallog in the following year[6] for becoming more modest, and the same language is the subject of one of the chapters of his PhD thesis. The system described above in this section can be more clearly written in a Pascal-like language style as follows:

1. An algorithm in Pascal-like language

```
type
  proposition = string;
  QueryAnswers = (LocalFalse, LocalTrue, NotFound);
  FourValues = (uu, ff, tt, ii);

(* ... *)

function TryProposition2v(p: proposition, q: QueryAnswers): boolean;
begin
  (*any polynomial search algorithm with unification for checking whether
  the proposition p is true. Alternatively, this function also returns
  the information that the search algorithm has been unable to answer
  whether the proposition p is true or false with respect to the current
  state of the knowledge base. In this case, where no unification has been
  found, the result is false.
  *)
end;

function proposition4v(p: proposition): FourValues;
begin
  if TryProposition2v(p,LocalTrue) then
    if TryProposition2v(p,LocalFalse) then
      proposition4v := ii
    else
      proposition4v := tt
  else
    if TryProposition2v(p,LocalFalse) then
      proposition4v := ff
    else
      proposition4v := uu
end;
```

Clearly, such an algorithm captures all possibilities, and can be adapted to extend from the propositional logic to a more sophisticated and even second-order logic with predicates.

Certainly, we are unable to state all mathematical true, for mathematics is a science and, as such, new theorems and proofs, new mathematical objects, are being formulated all the time by researchers. So, a proper four-valued formal system is able to state when a proposition is still unknown due to the *uu* value. On the other hand, such a formal system captures the notion of paradoxes due to the *ii* value. Therefore, it is sound and complete.

3. A FOUR-VALUED PROLOG

For further work, a four-valued Prolog can be formally defined, implemented and used. This section introduces a brief, informal and intuitive description of the adaptation of the three-valued Prolog defined by the present author in [6]. Let us call Prolog4v the sample programming language whose interpreter is intended to be the four-valued formal system.

3.1 Syntactical and Semantic Definitions

Definition 1. A program in Prolog4v is a sequence S of clauses $c_1 \dots c_n$. Thus, it is said that a computation by S proves a goal g if and only if there exists some c_i in S such that g is an immediate consequence of c_i , assuming that the body of c_i can be proven. The notion of clause and body are in the following definition subsection.

Given S as a sequence of clauses $c_1 \dots c_n$, a program in Prolog4v corresponds to the disjunction among all clauses. That is: $c_1 \vee \dots \vee c_n$, where the disjunctive operator \vee is the same operator of the four-valued logic in table 1. Nonetheless, the interpreter, also called formal system here, carries out its computation “downwards”, i.e. from the first to the last clause. The sequence of clauses is often written like a Prolog program is, i.e. one clause fills one line.

Definition 2. A clause is a language construct which has one of the forms bellow:

$$[\mathbf{not}] p(t_1, \dots, t_n).$$

or

$$[\mathbf{not}] p(t_1, \dots, t_n) \leftarrow [\mathbf{not}] p_1(t_{1,1}, \dots, t_{r,1}), \dots, [\mathbf{not}] p_m(t_{1,m}, \dots, t_{s,m}).$$

The first clause above corresponds to a *fact* whereas the second clause corresponds to a *rule*. For instance,

not astar(moon).

is a fact (the moon is not a star), whereas

shines(X) :- astar(X).

is a rule (if X is a star, X shines). If one tries to prove shines(moon), the corresponding query results in *ff*, the *false* value.

All clauses end with a dot symbol. As usual in syntax definitions, the above brackets are not part of the language but, instead, they mean that the negation operator is optional in the clauses. Any rule contains its head, which is on the left of the inference operator \leftarrow , and its body, which is on the right of the same operator. At the lexical level of Prolog4v, there are two different

inference operators to be chosen by the programmer, either “:-” like in Prolog or “:=”. The former operator obeys the Closed World Assumption[7] and makes use of the Negation as Failure[8]. This means that if the body of a rule results in *uu*, the “:-” operator makes the head of the same rule become *ff*. Similarly, if the body of a rule results in *ii*, the “:-” operator also makes the head of the same rule become *ff*. The latter operator “:=” is a contribution of the present author, which obeys what he called the Open World Assumption in his PhD thesis[6] and it corresponds to the \rightarrow operator described in table 1.

Briefly, if no clause unifies some given goal *g*, the answer of the query for *g* is *uu*, the *unknown* constant of Prolog4v.

The body of any rule is formed by a sequence of predicates with zero or more parameters *t* (showed with the indexes above), separated by the comma symbol (“,”), which in its turn corresponds to the \wedge operator of the four-valued logic that was showed in table 1, in the introductory section. During the computation, each predicate $p_j(t_{1,j}, \dots, t_{u,j})$ corresponds to a new four-valued goal and to a new four-valued query.

Definition 3. There exist four predefined constants in Prolog4v, namely, *ff*, *tt*, *uu* and *ii*.

The above constants correspond to the four operands F, T, U and I, respectively, of the four-valued logic described in table 1.

Note that, in accordance with table 1, if any of those queries in a body results in *ff*, the computation of the whole rule results in *ff* regardless of the existence of any possible inconsistency or lack of information in the other queries of the body of the rule in question. The queries are performed from left to right like in Prolog, but it is easy to see that the Prolog4v interpreter can be designed to carry out the computation in parallel and it can even distribute the computation among a number of machines. Also from table 1, note that, for any rule, the computation of the rule results in *tt* if and only if all containing queries in its body result in *tt*, that is, the trivial and Boolean cases clearly must hold.

With respect to the “:=” inference operator, it corresponds to the \rightarrow implication operator of the introduced four-valued logic, but containing the sides of the implication swapped. One could have chosen any pairs of operands of the \rightarrow table whose results are all *tt*. However, the main diagonal of the \rightarrow table is what makes sense in the real world, hence they are the choices. That is to say, $ff \rightarrow ff$, $tt \rightarrow tt$, $uu \rightarrow uu$, as well as $ii \rightarrow ii$ all result in *tt* and therefore \rightarrow operator is not only sound but also makes sense in the real world. During the computation, if the body of a rule results in *ii*, the query for the whole rule results in *ii* and, in this way, the inconsistency is propagated, possibly to the level of the user, such as a mathematician.

However, any query with the negation operator can also be treated as a unity. That is, although the four-valued logic introduced in table 1 contains the “not” operator \neg , the system might not make use of it. Instead, the not operator can be part of the query as well as it is part of the unification algorithm, i.e. the system tries to unify the predicate including the “not” operator. Furthermore, **not** *uu* does not result in *ii*, whereas **not** *ii* does not result in *uu* either. Instead, the system ought to propagate *uu* and also *ii*. Thus, **not** *uu* results in *uu* whereas **not** *ii* results in *ii*. These are the only two exceptions with respect to table 1. In other words, there are two different forms of negation.

In contrast with the negation in table 1, let us call the **not** operator in the definition 2 “abstract negation”. It had also been called “abstract negation” in the three-valued Prolog. Here, the negation is a four-valued extension.

Finally, the \leftrightarrow operator in the above four-valued logic is simply not used by the system.

3.2 Examples

Consider the following example of a two-clause program in Prolog4v:

```
happy(ann).  
not happy(ann).
```

Over the last thirty years, some proposals have been made for solving the inconsistency problem[9], such as setting priorities, possibly in some implicitly way, for all clauses. The literature on inconsistency in deductive databases and logic programs is large[10] but the present author thinks that there is little references to abstract negation.

In the above example, a query like `happy(ann)` clearly results in *ii*. Accordingly, a query like `not happy(ann)` also results in *ii*. In both cases, the system tries to prove both and, in accordance with the algorithm 1, it implicitly makes two binary queries, for both the positive and the negative forms of the predicate.

Now, consider the classical non-flying bird example:

```
fly(X) := bird(X), not penguin(X).  
not fly(Y) := penguin(Y).  
bird(tweety).  
penguin(Z) :- bird(Z), polar(Z).
```

To answer the query `fly(tweety)`, the system unifies the goal with the head of the first rule, binding the variable *X* to the constant `tweety`. Then, the system finds the subgoal `bird(tweety)` which in turn unifies the third clause and that subquery results in *tt*. Then, in the body of the first rule, `not penguin(tweety)`, is the next subgoal to be explored. Note that, because of the inference operator chosen, `penguin` is the head of a closed-world rule. Then, the subgoal unifies the fourth clause binding *Z* to `tweety`. As the subgoal `bird(tweety)` had already been proven, the next subgoal is `polar(tweety)`. To explore this subgoal, the system does not unify any clause and, because of this, this subquery results in *uu*. The body of the fourth clause results in *uu* since $T \wedge U$ results in *U* in table 1. The subquery `penguin(tweety)` results in *ff* because of the closed-world assumption made by using the “:-” operator. If one replaces “:-” by “:=” in the fourth clause, the subquery `penguin(tweety)` results in *uu* instead.

Now, consider a new clause

```
polar(tweety).
```

is asserted and that the system places it at the end of the sequence of clauses. For the same query `fly(tweety)`, the system now answers *ff*. That is, it learns. In comparison to a similar Prolog program:

```
fly(X) :- bird(X), not penguin(X).  
bird(tweety).  
penguin(Z) :- bird(Z), polar(Z).
```

The same query `fly(tweety)` would have resulted in *true* because the third clause alone ensures that only a polar bird is a penguin. That is, until the knowledge base is complete, the system sometimes gives wrong answers with respect to the real world. For instance, for a query such as `fly(airplane)`, the answer is *uu* in the Prolog4v program above, whereas the same query results

in *false* in the three-clause Prolog program above. Following this, binary formal systems are clearly not appropriate to write mathematical truths.

As another example, suppose that one knows that Berne is the capital of Switzerland and that each county has one capital only. In Prolog4v, one would write

```
capital(berne,switzerland).  
not capital(X,Y) := capital(Z,Y), X <> Z.
```

where $\langle \rangle$ stands for the different from (\neq) operator. A non-ground query, i.e. a query where there is some unbound variable, for instance `capital(bern,X)` (note the different spellings) would result in $X = uu$, whereas a ground query such as `capital(zurich,switzerland)` would definitely result in *ff* as follows: the corresponding goal would not unify the first clause but would unify the second one because the presence of the `not` abstract negation in its head does not fail during the computation of the unification algorithm. In this case, X is bound to `zurich` and Y is bound to `switzerland`. Then, the system tries to prove the subgoal `capital(Z,switzerland)` and unifies the first clause, i.e. the fact `capital(berne,switzerland)` binding Z to `berne`. Now, the system evaluates the expression $X \langle \rangle Z$, which in turn results in *tt* as `zurich` is not `berne`. Since all premises of the rule are true, the body results in *tt* and the system concludes that the head `not capital(zurich,switzerland)` is *tt* and, hence, that `capital(zurich,switzerland)` is *ff*, and that is the response of the query at the user's level.

4. CONCLUSIONS

There exists some four-valued formal system that is able to state arithmetical truths including paradoxes. If it is possible for a machine to generate all truths, the same formal system can be used on a suitable knowledge base for that purpose. Queries reaching paradoxes are answered with *ii*, the inconsistent value. On the other hand, the claimed system is also able to answer queries with *uu*, the unknown value. The content of the present article was meant to be comprehensive even for undergraduate student. Philosophy students can also understand it.

The computation by the referred formal system roughly takes the double the time of a typical binary formal system: some time for trying to prove that a goal is true and some additional time for trying to prove that the same goal is false. Therefore, its computation is polynomial.

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